

Shipra K. Rege

Associate



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Practices/Industries

- Complex Business Litigation
- Financial Services

Education

University of Pittsburgh,
(B.S., magna cum laude,
2012)

Case Western Reserve
University School of Law
(J.D., 2015)

Executive Notes Editor, Case
Western Reserve University
Journal of Law-Medicine

Overview

Focusing her practice on securities litigation and commercial litigation, Shipra represents broker-dealers, financial advisors, and individuals in a wide range of securities industry-related matters. She represents clients involved in intra-industry business disputes and customer arbitration claims involving allegations of suitability issues, unauthorized trading, and other sales practice violations. She also advises and defends clients in investigations brought by regulators, including FINRA and the SEC.

In connection with her commercial litigation practice, Shipra represents various corporations and entities in complex business disputes. She advises clients on a diverse range of matters, including contract disputes, enforcement of non-competition and confidentiality agreements, real estate disputes, and business tort claims.

Experience

- Represented purchaser of a book of a business in an action for breach of the purchase agreement and unfair competition after seller attempted to retain the business post-sale.
- Defended financial advisor in a suit brought by former employer for breach of restrictive covenants and misappropriation of trade secrets.
- Defended financial advisor and broker dealer in customer-initiated arbitration action for breach of fiduciary duty.
- Defended claims against majority member of a company for breach of fiduciary duty and fraud.
- Counseled small business owner regarding consulting practice and strengthened provisions in client's agreements.

Involvement

Professional Affiliations

- Cleveland Metropolitan Bar Association
- Asian American Bar Association
- South Asian Bar Association of Ohio (*Officer*)

Community Involvement

- Ohio Women's Bar Association, Mentoring Committee (*Member*)

Admissions

- State of Ohio